

08/23/01
J1129 U.S. PTO

08-24-01

A

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

"Express Mail" mailing label number: EL 823268993 US

Date of Mailing: 8-23-01

I hereby certify that the documents indicated below are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Toni Stanley
(Typed or printed name of person mailing paper or fee) SIGNATURE of person mailing paper or fee

JC986 U.S. PTO
09/23/01
08/23/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

DOCKET NUMBER: 11321-P034US

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Richard E. Smalley, Daniel T. Colbert, Ken A. Smith, and Michael O'Connell

For: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

Enclosed are:

- ☒ Patent Specification
- ☒ 7 sheets of drawing(s)
- ☐ An assignment of the invention to _____ (includes Recordation Form Cover Sheet).
- ☐ Certified Copy of a _____ Application
- ☐ An associate power of attorney
- ☐ Information Disclosure Statement, PTO 1449 and copies of references.
- ☒ Applicants claim small entity status (37 C.F.R. 1.27).

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate Small Entity	Fee Small Entity
Basic Fee				\$ 355.00
Total Claims	46 - 20	26	x 9 =	\$ 234.00
Indep. Claims	3 - 3	0	x 40 =	\$ - 0 -
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) PRESENTED			+ 135 =	\$ - 0 -
			TOTAL	\$ 589.00

- ☒ A check in the amount of \$589.00 (filing fee) is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-2426 (11321-P034US). A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR §1.16
 - ☒ Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

By:

Ross Spencer Garsson
Registration No. 38,150
Winstead Sechrest & Minick P.C.
5400 Renaissance Tower
Dallas, Texas 75270-2199
(512) 370-2870

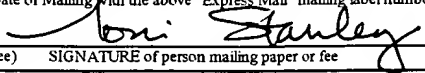
::ODMA\PCDOCS\AUSTIN_1\172074\1
374:11321-P034US

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

"Express Mail" mailing label number: EL 823268993 US

Date of Mailing: 8-23-01

I hereby certify that the documents indicated below are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Toni Stanley 

(Typed or printed name of person mailing paper or fee) SIGNATURE of person mailing paper or fee

JC986 U.S. PTO
 09/935493
 08/23/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

DOCKET NUMBER: 11321-P034US

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Richard E. Smalley, Daniel T. Colbert, Ken A. Smith, and Michael O'Connell

For: POLYMER-WRAPPED SINGLE WALL CARBON NANOTUBES

Enclosed are:

- ☒ Patent Specification
- ☒ 7 sheets of drawing(s)
- ☐ An assignment of the invention to _____ (includes Recordation Form Cover Sheet).
- ☐ Certified Copy of a _____ Application
- ☐ An associate power of attorney
- ☐ Information Disclosure Statement, PTO 1449 and copies of references.
- ☒ Applicants claim small entity status (37 C.F.R. 1.27).

The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate Small Entity	Fee Small Entity
Basic Fee				\$ 355.00
Total Claims	46 - 20	26	x 9 =	\$ 234.00
Indep. Claims	3 - 3	0	x 40 =	\$ - 0 -
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) PRESENTED			+ 135 =	\$ - 0 -
			TOTAL	\$ 589.00

- ☒ A check in the amount of \$589.00 (filing fee) is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-2426 (11321-P034US). **A duplicate copy of this sheet is enclosed.**
 - ☒ Any additional filing fees required under 37 CFR §1.16
 - ☒ Any patent application processing fees under 37 CFR §1.17.

Respectfully submitted,

By: 

Ross Spencer Garsson
 Registration No. 38,150
 Winstead Sechrest & Minick P.C.
 5400 Renaissance Tower
 Dallas, Texas 75270-2199.
 (512) 370-2870